



ENERGY AND ENVIRONMENT CABINET
Department for Environmental Protection
DIVISION OF WATER
Dam Safety Permit Application

DEP 4_030_3
Rev. 08/2026
Page 1 of 3

Chapter 151 of the Kentucky Revised Statutes, and Title 401, Chapter 4, of the Kentucky Administrative Regulations require approval by the Energy and Environment Cabinet prior to commencing construction, reconstruction, modification, or improvement of any dam, embankment, levee, dike, bridge, fill, or other obstruction in the floodplain or floodway of any stream.

The Dam Safety Permit Application shall be submitted by the Owner or Authorized agent. If you have questions, contact the Dam Safety section at 502-564-3410 or email KYDamSafety@ky.gov

1. APPLICATION FOR: ☐ NEW PERMIT ☐ MODIFICATION PERMIT # _____
☐ RESPONSE TO VIOLATION

2. DAM OWNER: _____
Name of person(s), company, governmental organization, or other owner of the proposed or constructed dam.

MAILING ADDRESS: _____

TELEPHONE #: _____ EMAIL: _____

3. AUTHORIZED AGENT: _____
Name of person(s) submitting the application if other than property owner, if applicable, all fields are required.

MAILING ADDRESS: _____

TELEPHONE #: _____ EMAIL: _____

4. PROJECT ENGINEER: _____ P.E. NUMBER: _____

MAILING ADDRESS: _____

TELEPHONE #: _____ EMAIL: _____

5. DAM DESCRIPTION AND PURPOSE: _____



ENERGY AND ENVIRONMENT CABINET
Department for Environmental Protection
DIVISION OF WATER
Dam Safety Permit Application

DEP 4_030_3
Rev. 08/2026
Page 2 of 3

6. PROJECT ADDRESS: _____

COUNTY: _____ LATITUDE/LONGITUDE: _____
(Decimal Degrees only)

7. ESTIMATED CONSTRUCTION DATES: BEGIN: _____ END: _____

8. PROPOSED HAZARD CLASSIFICATION: ☐ HIGH ☐ SIGNIFICANT ☐ LOW

9. HAS AN EMERGENCY ACTION PLAN BEEN SUBMITTED IN ACCORDANCE WITH 401 KAR 4:030: Kentucky Dam Design Requirements (DEP 4_030_2, August 2026)?

YES ☐ NO ☐ IF YES, WHEN WAS THIS DOCUMENT LAST UPDATED? _____

10. ATTACHMENTS. [401 KAR 4:030: Kentucky Dam Design Requirements (DEP 4_030_2, August 2026)]

Attachments shall bear the date, signature, and seal of a professional engineer as established in KRS 151.293.

- ☐ AERIAL MAP SHOWING DRAINAGE AREA AND CONSTRUCTION LOCATION
- ☐ HAZARD CLASSIFICATION REPORT
- ☐ HYDROLOGIC AND HYDRAULIC REPORT
- ☐ DESIGN REPORT
- ☐ DETAILED DRAWINGS AND SPECIFICATIONS
- ☐ GEOTECHNICAL EXPLORATION AND STABILITY ANALYSIS REPORT (High or Significant Hazard dam)
- ☐ SEISMIC ANALYSIS (High or Significant Hazard dam)
- ☐ EMERGENCY ACTION PLAN (High or Significant Hazard dam)

11. IS A VARIANCE REQUESTED? YES ☐ NO ☐ IF YES, PROVIDE A DETAILED EXPLANATION FOR THE REQUEST:

Note that any staged renovations must also submit Form DEP 4_030_5.



ENERGY AND ENVIRONMENT CABINET
Department for Environmental Protection
DIVISION OF WATER
Dam Safety Permit Application

DEP 4_030_3
Rev. 08/2026
Page 3 of 3

I hereby request approval for construction, reconstruction, modification, or improvement of the dam, embankment, levee, dike, or other obstruction as described in this application and any accompanying documents. I hereby authorize the AGENT listed in section 3 above to submit documentation and communicate with the Cabinet on my behalf. By signing I certify that, to the best of my knowledge, all the information provided is true and correct.

OWNER SIGNATURE: _____ DATE: _____

PRINT NAME: _____

AUTHORIZED AGENT SIGNATURE: _____ DATE: _____
(agent submitting the application if other than property owner)

PRINT NAME: _____

Submit this permit application through the eForm system at <https://dep.gateway.ky.gov/eForms> use Form 213 - Dam Safety Document Submittal or by mail to the Kentucky Division of Water, Dam Safety Section, 300 Sower Boulevard, Frankfort, KY 40601.