

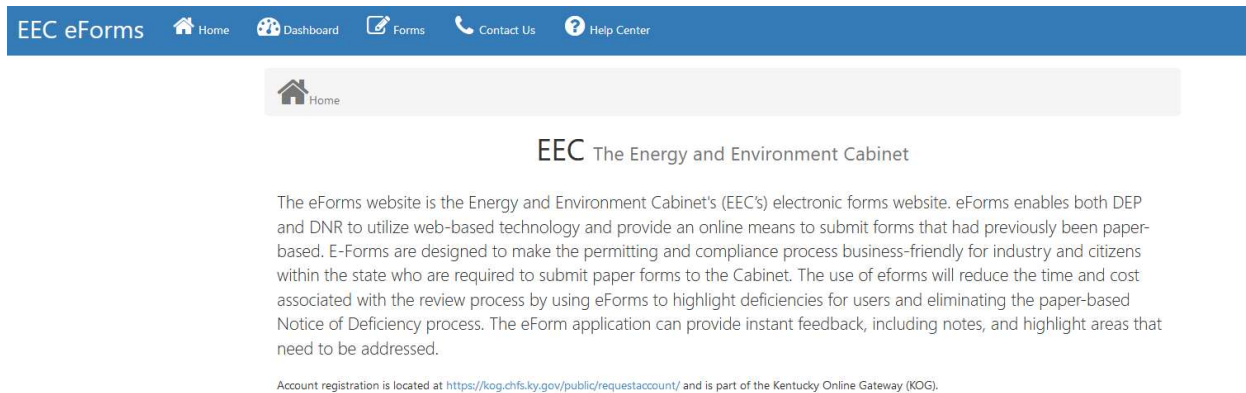
FAQ for Solid Waste Branch Submittal Form

April 23, 2025

Where do I find eForms?

eForms are found visiting this link: <https://dep.gateway.ky.gov/eForms/Account/Home.aspx>

This is the home screen:



EEC eForms Home Dashboard Forms Contact Us Help Center

Home

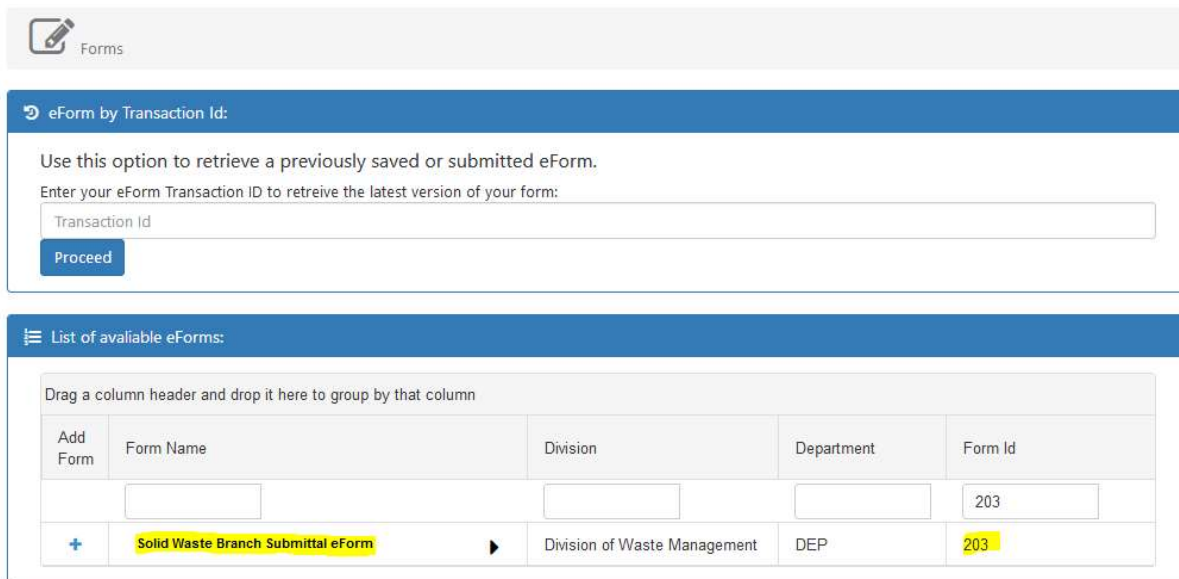
EEC The Energy and Environment Cabinet

The eForms website is the Energy and Environment Cabinet's (EEC's) electronic forms website. eForms enables both DEP and DNR to utilize web-based technology and provide an online means to submit forms that had previously been paper-based. E-Forms are designed to make the permitting and compliance process business-friendly for industry and citizens within the state who are required to submit paper forms to the Cabinet. The use of eforms will reduce the time and cost associated with the review process by using eForms to highlight deficiencies for users and eliminating the paper-based Notice of Deficiency process. The eForm application can provide instant feedback, including notes, and highlight areas that need to be addressed.

Account registration is located at <https://kog.chfs.ky.gov/public/requestaccount/> and is part of the Kentucky Online Gateway (KOG).

How do I find this specific eForm?

The eForm number is #203. Once on the forms page, you can search by form name or you can search by form number.



Forms

eForm by Transaction Id:

Use this option to retrieve a previously saved or submitted eForm.

Enter your eForm Transaction ID to retrieve the latest version of your form:

Transaction Id

Proceed

List of available eForms:

Drag a column header and drop it here to group by that column

Add Form	Form Name	Division	Department	Form Id
				203
+	Solid Waste Branch Submittal eForm	Division of Waste Management	DEP	203

Are eForms only for the Solid Waste Branch?

No. Many programs within the Energy and Environment Cabinet use eForms available on this website. You may already have an account if you submitted an eForm for a different program.

Are there other eForms used by the Solid Waste Branch and which one should I use?

This is the third eForm available to the public for the Solid Waste Branch program. The other two are the Solid Waste Quarterly Waste Quantity Report eForm (eForm #51) and Groundwater and Surface Water Monitoring, Statistical Analysis, and Operating Reporting Form (eForm #52). The Groundwater and Surface Water Monitoring, Statistical Analysis, and Operating Reporting Form is eForm #52. This eForm is on the list to be discontinued. Please use the Solid Waste Branch Submittal Form, eForm #203 for the submission of groundwater, surface water, statistics and operating reports.

If the Solid Waste Quarterly Waste Quantity Report eForm (eForm #51) is not applicable, or if you are unable to obtain a pin number by the due date, please use the Solid Waste Branch Submittal Form (eForm #203).

Do I need a pin number for this eForm?

No, a pin number is not required to use this Solid Waste Branch Submittal Form (eForm #203).

What kind of documents can be submitted using this eForm?

Any application, compliance report, annual survey, response to notice of deficiency, additional information, or other submittal sent to the Solid Waste Branch program can be submitted via this eForm. The only limitation is the submittal must be specific to a site or facility; this is because the eForm requires the Agency Interest ID number.

What if I don't know the Agency Interest (AI) ID number or if this is a new site?

If this is an existing site, and you don't know the AI number for the facility, please contact the Solid Waste Branch. Please note, once the AI number is entered into the eForm, general information - including the facility name and address - auto populates on the eForm so you can confirm the accuracy of the AI number entered.

If this is a new site, there is a box to check to indicate it is a new site and this box notifies the Solid Waste Branch program a new AI number needs to be assigned to the site.

If you are unsure of the AI number or unsure if one has been assigned, please contact the Solid Waste Branch prior to submitting the eForm.

Who can submit an eForm?

Generally speaking, applicants and permittees submit documents to the Solid Waste Branch program. However, this eForm does allow others to submit documents to the Solid Waste Branch, i.e. consultants may submit on behalf of their client(s). The last section is for the contact person. The person submitting the eForm should put their information in this section.

Does this eForm serve as a substitute for other required forms and signatures?

No. The eForm works as an electronic portal. It is a way to submit documents electronically instead of submitting paper documents.

The eForm allows the user to combine the submittal into one electronic record, preferably a pdf file, and submit this combined record as an attachment (or upload) to the eForm. The attachment to the eForm should contain everything that would have been submitted in a paper format. For example, the first page of the combined pdf file might be a cover page, followed by a completed application form, and then followed by any other attachments to the application form.

Be advised, many submittals still require a signed certification statement. This signed statement should be included in the pdf upload.

Will the eForm accept multiple uploads or multiple attachments?

No. Please refer the question above. The entire submittal should be combined into a single pdf file and contain all necessary documentation for the submittal. Please do not make multiple eForms submittals if the records being submitted are part of one action for one site.

What if the file upload attachment exceeds file size limitations?

If the upload exceeds file size limitations, please contact the Solid Waste Branch. The file size limitation may be increased later, if needed.

Do I follow-up my submittal with a hard copy?

No, please do not follow-up with a hard copy paper submittal. This may result in the duplication of the processing of the action.

Can I still email my submittals to branch staff?

No, please do not also email your submittal to Solid Waste Branch program staff. This may result in the duplication of the processing of the action.

During COVID-19, we have allowed submittals to be made via email to branch staff. The development of this eForm is in part to eliminate the need for email submittals. In the event staff are out of the office, the eForm portal can be monitored remotely. At this time, we ask you no longer email submittals directly to Solid Waste Branch staff.

What about confirmation of receipt?

See the above comments about email submittals. The eForm system is programmed to send confirmation email notification(s) You can also check your dashboard to see the status of the submittal. If you wish to have further confirmation, please contact the Solid Waste Branch

after 24 hours. For users new to the process, we can look up the submittal and provide confirmation the eForm migrated properly into the department database.

How do I remit fees?

Unfortunately, fee payments are not available at this time with this eForm.

Fee payments should be mailed to the Solid Waste Branch along with a cover letter for recordkeeping purposes. In the cover letter accompanying the fee payment, please be sure to include the Agency Interest ID number, if it is an existing site. Also, reference the submittal ID in the cover letter accompanying the fee payment, if you can. This submittal ID is generated upon the creation of the eForm and will also be referenced in a confirmation email.

If the fee payment is not received by the Solid Waste Branch within 10 calendar days of submittal of the eForm, you may receive a notice of deficiency for failure to submit the fee or you may receive a denial of the application.

Can I start an eForm and finish it later?

Yes. You can start the eForm and select the 'Click to Save Values for Future Retrieval' at the bottom. Please keep in mind, any upload will not be available at the later time. Only the data entered into the fields will be saved.

What if I still have questions?

If you have any questions regarding the submittal of this eForm, please contact Bethany Smith or Danny Anderson in the Solid Waste Branch. Contact Danny at Danny.Anderson@ky.gov or (502) 782-6305 or Bethany at Bethany.Smith@ky.gov or (502) 782-4383.


How complicated is the eForm to complete?

Once an account was established, the completion of the eForm during testing took no longer two (2) minutes to complete.

The eForm consists of three main areas: general site information, action specific information, and contact information. Each area has a screenshot below with a short summary.

Area 1 – General Site Information

The user will select new site or existing site. For an existing site, the remaining information will auto populate. If information appears incorrect for the permittee or applicant information, there is a box to check to alert the Solid Waste Branch Staff.

 Energy and Environment Cabinet Department for Environmental Protection Division of Waste Management <small>(*) indicates a required field; (✓) indicates a field may be required based on user input or is an optionally required field</small>		Solid Waste Branch Submittal Form <small>Please use this eForm to submit applications or reports to the Solid Waste Branch. It may be utilized by those sites required by regulation (401 KAR Chapters 45, 46, 47, and 48) or by statute (Kentucky Revised Statute Chapter 224) to submit applications, registrations, or compliance reports.</small> Please do not combine multiple submittals in one eForm submittal; each permitting action or compliance report should be a unique eForm submittal. For example, please do not submit a minor modification for a landfill and a new tire application with the same eForm. You may submit multiple eForms for the same site on the same day if needed. <small>If you have any questions regarding the submittal of this eForm, please contact Ms. Teresa Osborne or Ms. Jamie Nielsen in the Solid Waste Branch. Contact Jamie at Jamie.Nielsen@ky.gov or at (502)782-6426. Contact Teresa at Teresa.Osborne@ky.gov or (502)782-6115.</small>	
Facility Information:			
<input type="checkbox"/> Please check here if this is a new site and no Agency Interest ID number has been assigned by the Department for Environmental Protection			
<input type="checkbox"/> Please check here if this is an existing site and an Agency Interest ID number has been assigned			
Agency Interest ID:(✓) <input type="text" value="Agency Interest ID"/>	Facility Name:(✓) <input type="text" value="Facility Name"/>	County:(✓) <input type="text" value="County"/>	
Facility Address:(✓) <input type="text" value="Physical Address"/>	City:(✓) <input type="text" value="City"/>	State:(✓) <input type="text" value="State"/>	Zip:(✓) <input type="text" value="Zip"/>
Permittee or Applicant Information:			
If this is a new site and no Agency Interest number has been assigned, please skip this section.			
<input type="checkbox"/> If this is an existing site and the information prepopulates with the incorrect or outdated information, please check this box. This section of the eForm is read-only. To update the mailing address on file with the Solid Waste Branch using this eForm, provide the updated mailing address in the Description text box in the next section.			
<i>Note: The section below prepopulates based on the Agency Interest ID number. If an Agency Interest number has not been assigned, or if an Agency Interest number has been assigned, but a permit has never been issued by the Solid Waste Branch, the data may not be available at this time.</i>			
Permittee Name:(✓) <input type="text" value="Permittee Name"/>			
Mailing Address:(✓) <input type="text" value="Mailing Address"/>	City:(✓) <input type="text" value="City"/>	State:(✓) <input type="text" value="State"/>	Zipcode:(✓) <input type="text" value="Zip"/>

Area 2 – Action Specific Information

The user will include a short description like “NOD response for modification APE20190001” or “new minor modification application” or “annual landfarm report” in the description text box. This is intended to be flexible and allows the user to reference information which may make processing more efficient.

The user will then upload the file, preferably a pdf file.

The user will then select if a fee payment is forthcoming or if a fee payment is not required.

Description:	
For recordkeeping purposes, please provide a description of the submittal. For example, briefly state if this is a new action or additional information for an existing action. For existing actions, provide the activity ID number, i.e. APE20190001 or CMN20190001.	
<input type="text"/>	
Attachments Max acceptable file size is 3815 MB.	
File Upload:(*)	<input type="button" value="Upload file"/>
Fee Payment:	
<i>Please note: Fee Payments should be mailed to the Solid Waste Branch along with a cover letter for recordkeeping purposes. In the cover letter, please be sure to include the Agency Interest ID number, if known. Also include the submittal ID. This submittal ID is generated upon the creation of this eForm and will also be referenced in a confirmation email. If the fee payment is not received by the Solid Waste Branch within 10 calendar days of submittal of the eForm, you may receive a notice of deficiency for failure to submit the fee or a denial of the application.</i> <i>For Registered Permit-by-Rule applications submitted in accordance with 401 KAR 47:110, Section 1: If a fee is required, the registration shall NOT become effective 5 days (or 30 days for medical waste transfer stations) after the cabinet receives the eForm. If a fee is required, by checking the box below in this section, you agree the 5 day period (or 30 day period) does not begin until after the cabinet receives the fee and associated cover letter.</i>	
<input type="checkbox"/> If your submittal does not require the remittance of a fee, please check this box:	
<input type="checkbox"/> If your submittal does require the remittance of a fee, please check this box:	

Area 3 – Contact Information

This area is intended to have the name and contact for the person submitting the eForm. If a consultant is submitting on behalf of their client, the consultant information should be included. The date must be the date of submittal and should be entered just before selecting the ‘Click to Submit to EEC’ button.

Contact Information: Please provide the name and contact of the person submitting this eForm.			
Contact Person First Name:(*) <input type="text" value="First Name"/>	M.I.: <input type="text" value="MI"/>	Contact Person Last Name:(*) <input type="text" value="Last Name"/>	
eMail Address:(*) <input type="text" value="eMail Address"/>	Business Phone:(*) <input type="text" value="Phone"/>	Alternate Phone: <input type="text" value="Phone"/>	Date:(*) <input type="text" value="Date"/>
<input type="button" value="Click to Save Values for Future Retrieval"/> <input type="button" value="Click to Submit to EEC"/>			