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|  | **§401 Water Quality Certification Request Form** Additional information regarding federal regulation 40 CFR 121 and the nine components listed in this guidance form may be viewed on the [U.S. EPA Overview of §401 Certification](https://www.epa.gov/cwa-401) webpage.A §401 Water Quality Certification request must be submitted to the Division of Water and to the licensing or permitting Federal agency. For the U.S. Army Corps of Engineers contact information, please review the [District map](https://eec.ky.gov/Environmental-Protection/Water/PermitCert/WQ401Cert/Documents/Kentucky%20USACE%20Districts%20Map.pdf).**Contact the** [**Water Quality Certification**](https://eec.ky.gov/Environmental-Protection/Water/PermitCert/WQ401Cert/Pages/default.aspx) **Section at** **401WQC@ky.gov** **or 502-564-3410 with any questions.**  |
| **Did you already submit the state Application (Form DOW 7116)?**  **Provide your DOW Agency Interest No.** *(Example: AI#123456*) **:**      An [Application for Permit to Construct Across or Along A Stream and/or Water Quality Certification (Form DOW 7116)](https://eec.ky.gov/Environmental-Protection/Water/FloodDrought/Documents/StreamConstructionApplicationPackage.zip) is required for Individual Water Quality Certification. Additional Information & Directions are available here: <https://eec.ky.gov/Environmental-Protection/Water/PermitCert/WQ401Cert/Pages/Apply-for-Certification.aspx>  |
| **Have you included all 9 Components required by federal regulation 40 CFR 121 for a valid Certification Request?**Please attach any additional information that was not previously provided to your DOW WQC project manager.

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|  | *Component No.* | *Description* |
|[ ]  **1** | **Identify the project proponent(s) (applicant) and a point of contact** |
|[ ]  **2** | **Identify the proposed project** |
|[ ]  **3** | **Identify the applicable federal license or permit** |
|[ ]  **4** | **Identify the location and nature of any potential discharge that may result from the proposed project and the location of receiving waters** |
| [ ]  | **5** | **Include a description of any methods and means proposed to monitor the discharge and the equipment or measures planned to treat, control, or manage the discharge** |
| [ ]  | **6** | **Include a list of all other federal, interstate, tribal, state, territorial, or local agency authorizations required for the proposed project, including all approvals or denials already received** |
| **Attach copy** | **7** | **Include documentation that a pre-filing meeting request was submitted to the certifying authority at least 30 days prior to submitting the certification request** |
| **Sign below** | **8** | **Contain the Following Statement: *‘The project proponent hereby certifies that all information contained herein is true, accurate, and complete to the best of my knowledge and belief.’*** |
| **Sign below** | **9** | **Contain the Following Statement*: ‘The project proponent hereby requests that the certifying authority review and take action on this CWA 401 certification request within the applicable reasonable period of time.’*** |

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| Print Project Proponent Name (applicant/owner): |       |
| Project Proponent Signature (applicant): |  |  Date:        |

**Submit the completed form and attachments through email to** **401WQC@ky.gov****.**