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|  | | | **§401 Water Quality Certification Pre-Filing Meeting Request Form**  Federal regulation 40 CFR 121.4 requires the applicant to submit a pre-filing meeting request before filing a *Certification Request*. More information may be viewed on the [U.S. EPA Overview of §401 Certification](https://www.epa.gov/cwa-401) webpage.  This form may be used to request the pre-filing meeting and submitted to the Division of Water at [**401WQC@ky.gov**](mailto:401WQC@ky.gov)**.** This form may be submitted with the *Application to Construct Across or Along a Stream and/or Water Quality Certification.*  The information requested below will allow the Division of Water to provide guidance for filing a *§401 Water Quality* *Certification Request* once a complete application has been received and reviewed.  **Contact the** [**Water Quality Certification**](https://eec.ky.gov/Environmental-Protection/Water/PermitCert/WQ401Cert/Pages/default.aspx) **Section at** [**401WQC@ky.gov**](mailto:401WQC@ky.gov) **or 502-564-3410 with any questions.** | | | | | | | |
| **SECTION I – Applicant Information** (property owner or easement holder) | | | | | | | | | | |
| Applicant Name: | | | | | E-mail Address: | | | | | |
| The [*Application for Permit to Construct Across or Along A Stream and/or Water Quality Certification* (Form DOW 7116, July 2008)](https://eec.ky.gov/Environmental-Protection/Water/FloodDrought/Documents/StreamConstructionApplicationPackage.zip) is required for Individual Water Quality Certification. | | | | | | | | Attached  Submitted | | |
| AI Number (leave blank if unknown): | | | | | |  |  |  | | |
| Date: | | | | | |  |  |  | | |
| **SECTION II – Alternate Contact/ Consultant Information** (Optional, a consultant is not required) | | | | | | | | | | |
| Alternate Name: | | | | | E-Mail Address: | | | | | |
| SECTION III – The federal license or permit(s) required for the activity (check all that apply) | | | | | | | | | | |
| Section 404 Permit  Section 10 Permit  Nationwide Permit (NWP) No.:        LOP (Section 10/404)  Section 10/404 Regional General Permit  TVA 26a Permit  Federal Energy Regulatory Commission | | | | | | | | | | |
| **SECTION IV – Project Site Information** | | | | | | | | | | |
| Site or Project Name: | | | | Latitude & Longitude (decimal degrees): | | | | | | |
| 4a. | Water Resource proposed for alteration:  Stream/River  Wetland | | | | | | | | | |
| 4b. | Name of Water Resource (access [watermaps.ky.gov](https://watermaps.ky.gov/) for more information): | | | | | | | | | |
| 4c. | Surface Water Resource Impacts (permanent and temporary):  Linear feet of stream/river:       Average stream/river width (feet):       Acreage of wetland: | | | | | | | | | |
| 4d. | Has a Jurisdictional Determination been received from the U.S. Army Corps of Engineers?  Yes  No | | | | | | | | | |
| 4e. | Are the streams and/or wetlands that will be impacted identified by the Division of Water as **Outstanding State or National Resource Water, Cold Water Aquatic Habitat, or Exceptional Waters**? (access [watermaps.ky.gov](https://watermaps.ky.gov/) and [Water Quality Certification Viewer](https://kygis.maps.arcgis.com/apps/webappviewer/index.html?id=074e895497ca4cfaa9d548b496cbc2b6) for more information) | | | | | | | | | Yes  No |
| **Form continues on the next page** | | | | | | | | | | |
| 4f. | | Are the streams and/or wetland that will be impacted identified by the Division of Water as  **impaired** for warm water or cold water aquatic habitat where the parameter or source is related to habitat? (access [watermaps.ky.gov](https://watermaps.ky.gov/) and [Water Quality Certification Viewer](https://kygis.maps.arcgis.com/apps/webappviewer/index.html?id=074e895497ca4cfaa9d548b496cbc2b6) for more information) | | | | | | | Yes  No | |
| 4g. | | Are the streams and/or wetland that will be impacted identified by the Division of Water as  **full support** for warm water or cold water aquatic habitat? (access [watermaps.ky.gov](https://watermaps.ky.gov/) and [Water Quality Certification Viewer](https://kygis.maps.arcgis.com/apps/webappviewer/index.html?id=074e895497ca4cfaa9d548b496cbc2b6) for more information) | | | | | | | Yes  No | |
| SECTION V – Project Description (some items may not apply) | | | | | | | | | | |
| 5a. | Description of the proposed project, including but not limited to the size, depth, length of the project, types of materials proposed for use, and anticipated timeline for construction and operation. | | | | | | | | | Attached |
| 5b. | Table of impacts: If there are multiple discharge locations that are not continuous, please include a separate table or figure listing the coordinate location (in decimal degrees) of each surface water impact, linear feet/ acreage of impact, name of waterbody, and stream flow type (ephemeral, intermittent, perennial). | | | | | | | | | Attached |
| 5c. | Site plans and description of site development, including but not limited to maps of surface waters and proposed surface water impacts within the project area. | | | | | | | | | Attached |
| 5d. | Proposed temporary impacts to surface waters: linear feet of temporary stream impacts, acreage of temporary wetland impacts, and the proposed plans for restoration. | | | | | | | | | Attached |
| 5e. | Preliminary Jurisdictional Determination (PJD) and/or Approved Jurisdictional Determination (AJD) issued by the U.S. Army Corps of Engineers. | | | | | | | | | Attached |
| 5f. | Compensatory mitigation proposal or compensatory mitigation statement for impacts to surface waters. | | | | | | | | | Attached |
| 5g. | Description of best management practices (BMPs) to be implemented to minimize the impacts to surface waters, including sedimentation and erosion control measures. | | | | | | | | | Attached |
| 5h. | For dredge activities: Dredge methods, disposal areas, proposed volume of material to be extracted, record of the most recent mussel survey if available. | | | | | | | | | Attached |
| 5i. | Endangered Species Act (ESA) Section 7 consultation or concurrence documentation, biological surveys, and/or other pertinent information regarding the presence of federally threatened or endangered aquatic species. | | | | | | | | | Attached |
| 5j. | Other information pertinent to the project. | | | | | | | | | Attached |

**Submit the completed form and attachments through email to** [**401WQC@ky.gov**](mailto:401WQC@ky.gov)**.**