



Department for Surface Mining
Reclamation and Enforcement

Reclamation Advisory Memorandum

From: Elmore C. Grim, Commissioner E.C.G.
Subject: Clarification of Company Stockholder Information

Date: August 5, 1983

RAM# 67

As a condition of primacy, Kentucky is required to clarify information related to corporate stockholders. Currently, item 1.9 of Kentucky's mining permit application requires the applicant to list stockholders owning ten percent (10%) or more of stock in a company. For corporations, this means stockholders owning ten percent (10%) or more of any class of stock.

Corporate applicants must now list stockholders owning ten percent (10%) or more of a company's "voting" stock. Information concerning proprietorship or partnership owners must continue to be listed, if applicable, as well as the names of Officers, Directors and persons performing functions similar to a Director.

Permit application forms will eventually be modified to reflect this new requirement, but until then the Department requests that applicants provide the correct voting stock information under item 1.9 when preparing new comprehensive permit applications.

Companies already issued a permanent program permit have been requested to provide the appropriate voting stock information for placement in the Department's permit files. For permanent program applications currently on file, the proper voting stock information will be requested during the review process. Questions concerning this new requirement should be directed to Lyle Shaw at (502) 564-2320, or Laura Keller at (502) 564-6940.